

Privacy Notice for Everyone Else

This notice applies to you if we process your personal information and you are not an employee or worker of ours, a prospective candidate employee or worker, a client of ours or an individual to whom we have provided a specific privacy notice. You may be, for example, be an individual that works at a supplier or another organisation that we deal with, an attendee at one of our marketing events, a user of our website or someone who is affected by our activities. References to **you, your** and **yourself** in this privacy notice are to either you as an individual or any organisation that you work for.

References to **we, our, us** or **Quantuma Group** in this privacy notice are to the Quantuma Group made up of Quantuma Advisory Limited, Quantuma Advisory (Yorkshire) Limited t/a Michael Chamberlain & Co Limited, Quantuma International Advisory Limited and each of its direct and indirect subsidiaries (current and/or future) and joint venture companies trading under the “Quantuma” brand. Details of our main trading entities can be found within “**Client Facing Entities in the Quantuma Group**”.

We are committed to respecting your privacy. This notice is to explain how we may use personal information we collect before, during and after your relationship with us. This notice explains how we comply with the law on data protection and what your rights are.

For the purposes of data protection whichever of the members of our Group, our insolvency practitioners and joint venture companies which are processing your personal information will be the controller of any of your personal information.

1. PERSONAL INFORMATION WE COLLECT

We may collect the following types of personal information about you:

- **Contact details:** information that allows us to identify and contact you directly such as your name, address email address, telephone number and addresses.
- **Identification information:** passport and other official identification details, information from a third-party money laundering check provider, Companies House information, national insurance number, membership of relevant schemes.
- **Details of your work history:** This may include positions, roles, responsibilities, professional qualifications.
- **Personal history and information:** This may include hobbies, interests, marital status, family details and dietary requirements.
- **Advisors appointed by you:** including mortgage brokers, lawyers, financial advisors, surveyors.
- **Business information:** including property owned by you, transactions, amounts paid or owed and tenants.
- **Responses to surveys, competitions, and promotions:** we keep records of any surveys you respond to or your entry into any competition or promotion we run.
- **Creditworthiness:** We may undertake investigations into your creditworthiness in order to establish whether to enter into or continue a business relationship with you
- **Details of your performance:** when working with or for us or in relation to any project or work we are engaged in.
- **How you use our website:** we collect information about the pages you look at and how you use them, usernames, and passwords.
- **Videos, photographs and audio recordings:** which you or other people take and provide to us, or we take ourselves.
- **Your usage of the IT systems we make available to visitors to our premises:** for example, our client internet and Wi-Fi facilities.
- **Details of the correspondence (including e-mail correspondence) you send and receive from us and details of any claims:** this includes letters and emails, SMS, MMS, and other electronic communication and may in some cases include audio recording of telephone conversations.

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- **Subscription information:** for example, when you subscribe to one of our blogs or other materials.
- **IP address information:** your computer's IP address allows us to track your usage of our website.

If you are providing information regarding other individuals to us, it is your responsibility to ensure that you have the right to provide the information to us.

2. SPECIAL CATEGORIES OF PERSONAL INFORMATION

We do not generally collect, store, and use the following “special categories” of more sensitive personal information regarding you:

- information about your race or ethnicity, religious beliefs, sexual orientation, and political opinions.
- information about your trade union memberships.
- information about your health, including any medical condition, health and sickness records, medical records, and health professional information; and
- biometric information about you, for example fingerprints, retina scans.

If we do collect any special category personal information, we do not currently rely on consent as a basis for processing special category personal information.

We will also not collect, store, and use any criminal records information in relation to you. If we do collect any criminal records information, we do not currently rely on consent as a basis for processing criminal records information.

Again, if you are providing information regarding other individuals to us, it is your responsibility to ensure that you have the right to provide the information to us.

3. WHERE WE COLLECT YOUR PERSONAL INFORMATION FROM

We will collect personal information from a number of sources. These may include the following:

- **Directly from you:** when you indicate that you may wish to attend an event, complete forms we provide to you, use our website, enter our competitions and promotions, make a claim, make a complaint, provide money laundering information to us contact us by phone, email or communicate with us directly in some other way.
- **From referrals and recommendations:** usually given by other people who know you or have a working relationship with you.
- **Our website:** provides us with information about how you use it and the devices that you use to connect to our website.
- **Providers of information:** which may include professional bodies or trade associations, credit reference agencies, money laundering check provider, Companies House, the Land Registry, LinkedIn, and other web platforms.
- **Journalists or other investigators:** they may provide us with details or make enquires about you or matters concerning you or ourselves.
- **Your employer or the organisation you work for:** they may provide us with your name, position contact details and background information about you.
- **Our professional advisors:** such as lawyers, accountants, financial advisors, planning consultants, surveyors, consultants, and other advisors.
- **Your professional advisors:** such as lawyers, accountants, financial advisors, planning consultants, surveyors, consultants, and other advisors.
- **The Government, local authorities or relevant regulators:** to assist with investigations and other legal obligations.

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4. WHAT WE USE YOUR PERSONAL INFORMATION FOR

The table below describes the main purposes for which we process your personal information, the categories of your information involved and our lawful basis for being able to do this. Which will apply will depend upon the nature of your relationship and interactions with us.

Purpose	Personal information used	Lawful basis
Enter into and perform contracts, where we may be supplying products/services to you and/or you may be supplying products/services to us or we may be involved in similar arrangements with third parties	All the personal information we collect	To enter into and perform contracts with either yourself or the organisation that you represent We have a legitimate to properly perform contracts with third parties
Deal with your queries or complaints, claims, legal disputes or raise queries, claims, legal disputes, or complaints with you	All the personal information we collect	This may be necessary to perform a contract with you or the organisation that you represent We have a legitimate interest to improve the services and/or products we provide To defend, bring or establish legal claims
Maintain and improve our services and/or products	All the personal information we collect excluding special category information	We have a legitimate interest to improve the services and/or products we provide
Data analytics, statistical analysis, and other research to help us improve our online services	How you use our website	We have a legitimate interest to improve the online services we provide and user experience
Security of our IT systems	All the personal information we collect excluding special category information	We have a legitimate interest in ensuring the security of our IT systems
Staff training	All the personal information we collect excluding special category information	We have a legitimate interest to improve the products and services we provide

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Perform credit checks	Contact details and payment information	We have a legitimate interest to ensure that we are likely to be paid for products and services
Determine services and/or products that may be of interest to you	All personal information we collect excluding special category information	We have a legitimate interest to improve the services and/or products we provide and to determine which products and/or services you may be interested in
To provide you with requested information	Contact details and services and products or other information that you have requested we provide to you or your organisation	To comply with any request made by you
Direct marketing	Contact details and services and products that we have determined may be of interest to you or your organisation and/or in which you or your organisation may have previously expressed an interest.	We may ask for your consent to process your data for this purpose, you may revoke your consent at any point. Alternatively, if you or your organisation has expressed an interest in similar services or products from us or made a referral previously, we may market similar products or services as a legitimate interest in developing our business. You have the right to opt out from such marketing at any time
Holding events	Your contact details, details of attendance, your comments in response forms and dietary requirements	We have a legitimate interest in holding events, tracking attendance, and providing appropriate food and drinks at events We may also have a legal obligation to comply with health and safety requirements
To comply with our legal and regulatory obligations	All the personal information we collect	To comply with any legal and regulatory obligation or requirement

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Fraud, crime prevention and debt collection	All the personal information we collect	We have a legitimate interest to detect and prevent crime and to collect debts.
To manage our relationship with you and to operate our business	All the personal information we collect	We have a legitimate interest to operate our business in an efficient way and to expand our business To enter into and perform contracts with either yourself or the organisation that you represent To defend, bring or establish legal claims
Storage of records relating to you and also records relating to our business	All the personal information we collect	To be able to manage and fulfil any contract with you, we may have a legal obligation to do so and we also have a legitimate interest to keep proper records

For some of your personal information you may have a legal, contractual, or other requirement or obligation for you to provide us with your personal information. If you do not provide us with the requested personal information, we may not be able to properly perform our contract with you or the organisation you represent or comply with legal obligations, and we may have to terminate our relationship. For other personal information you may not be under an obligation to provide it to us, but if you do not provide it then we may not be able to properly perform our arrangements with you or the organisation you represent.

Where you have given us your consent to use your personal information in a particular manner, you have the right to withdraw this consent at any time, which you may do by contacting us as described in the “**Contacting us**” section below. We will generally only ask for your consent for direct marketing.

Please note however that the withdrawal of your consent will not affect any use of the data made before you withdrew your consent, and we may still be entitled to hold and process the relevant personal information to the extent that we are entitled to do so on bases other than your consent. Withdrawing consent may also have the same effects as not providing the information in the first place, for example we may no longer be able to provide marketing information to you.

We may anonymise and aggregate any of the personal information we hold (so that it does not directly identify you). We may use anonymised and aggregated information for purposes that include testing our IT systems, research, data analysis, improving our site and developing new products and services.

5. WHO WE SHARE YOUR PERSONAL INFORMATION WITH

We may share personal information with the following parties:

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- **Organisations in the same group as us:** in relation to joint events or joint work.
- **Other companies in our supply chain:** so that they can contact you about any issues in the supply chain or where your personal information is relevant to a subcontractor or party above us in the supply chain.
- **Credit reference and other identification agencies:** so that we can assess your creditworthiness and to verify your identity. These agencies may retain a footprint that a search has been undertaken.
- **Third parties who ask for or want referrals:** we may provide your details to a third party who is seeking services/products which are the same or similar to those that you provide.
- **Marketing and public relations companies:** to help us to develop, carry out and assess marketing and PR campaigns
- **Other service providers and advisors to us:** such as companies that support our IT, help us analyse the data we hold, process payments, send communications to our customers, provide us with legal, property or financial advice and generally help us deliver our products and services to you or the organisation that you represent or for us to purchase them from you or the organisation you represent.
- **Information providers:** which may include credit reference agencies, money laundering check provider, Companies House, the Land Registry.
- **Purchasers of our business:** buyers or perspective buyers to whom we sell or negotiate to sell our business.
- **The Government, local authorities, planning authorities or relevant regulators:** where we are required to do so by law or to assist with their investigations.
- **Police, law enforcement agencies and security services:** to assist with the investigation and prevention of crime and the protection of national security.

We also use Google Analytics which sets cookies to collect information about how visitors use our website. We use the information to compile reports and to help us improve the website. The cookies collect information in an anonymous form, including the number of visitors to the website and blog, where visitors have come to the website from and the pages they visited. To opt out of being tracked by Google Analytics across all websites visit <http://tools.google.com/dlpage/gaoptout>

We may provide third parties with aggregate statistical information and analytics about users of our products and services, but we will make sure no one can be identified from this information before we disclose it.

We do not disclose personal information to anyone else except as set out above unless we have your consent, or we are legally obliged to do so. We do not sell your data.

6. DIRECT MARKETING

Email, post, and SMS marketing: from time to time, we may contact you by email, post, or SMS with information about products or services we believe you may be interested in.

You can then let us know at any time that you do not wish to receive marketing messages by sending an email to us at marketing@quantuma.com or by using the details set out in the “**Contacting us**” section below. You can also unsubscribe from our marketing by clicking on the unsubscribe link in any marketing messages we send to you.

7. TRANSFERRING YOUR PERSONAL INFORMATION INTERNATIONALLY

Our global presence means that your personal data may be transferred to and stored across the Quantuma Group in connection with the purposes described in this privacy statement. For example, when providing professional services to a client we may share personal information with Group firms in different jurisdictions that are involved in providing advice to that client. Some of these jurisdictions require different levels of protection in respect of personal information and, in certain instances, the

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laws in those countries may be less protective than the jurisdiction you are typically resident in. Transfers of personal data to another jurisdiction will be only:

- To a recipient in a country which provides an adequate level of protection for your personal protection; and/ or
- Under an agreement which satisfies the requirements of the UK GDPR and the EU GDPR for transfer of personal data to data processors or data controllers in another jurisdiction

We also use a number of suppliers and service providers in connection with the operation of our business who may have access to the personal information that we process, e.g., IT suppliers when providing us with software support, or cloud services. In all cases, your personal information is handled and protected in accordance with data protection laws. Where we use cloud services, our data will generally be hosted within the UK or EU, those being the locations which offer the highest level of data protection regulation of all the regions in which we operate. Where any personal data is processed by suppliers outside the EEA in countries that the UK and/or the EU have not assessed as providing an adequate level of protection, we ensure that personal data is adequately protected in accordance with applicable data protection law, and in particular Article 46 of the UK GDPR and the EU GDPR, by using the EU approved model contract clauses to cover the transfer or by ensuring that the supplier has Binding Corporate Rules in place.

If you require more details on the arrangements for any of the above, then please contact us using the details in the “**Contacting us**” section below.

8. HOW LONG DO WE KEEP PERSONAL INFORMATION FOR

We will keep your personal information for as long as is necessary for the purpose for which it has been obtained and then for as long as there is any risk of a potential claim, which will be dependent upon the limitation period for the particular type of claim. We have set out below the main retention periods which will apply:

- For individual contacts at customers and suppliers this will be for as long as we continue to have a relationship with that customer or supplier and then for a period of 3 years afterwards.
- For marketing contacts, it will generally be a period of 2 years after we were last in contact with you.
- For website users it will generally be a period of 2 years after you used our website.
- For individuals seeking information, making complaints, or otherwise corresponding with us it will generally be 6.5 years.
- For individuals attending an event it will generally be a period of 2 years after the event.

It is important to ensure that the personal information we hold about you is accurate and up-to-date, and you should let us know if anything changes, for example if you move position, work for a different organisation, change your phone number, or email address. You can contact us by using the details set out in the “**Contacting us**” section below.

9. SECURITY

We have numerous security measures in place to protect the loss, misuse, and alteration of information under our control, such as passwords and firewalls. We cannot, however, guarantee that these measures are, or will remain, adequate. We do, however, take data security very seriously and will use all reasonable endeavours to protect the integrity and security of the personal information we collect about you.

10. YOUR RIGHTS IN RELATION TO YOUR PERSONAL INFORMATION

You have the following rights in relation to your personal information:

- the right to be informed about how your personal information is being used.
- the right to access the personal information we hold about you.

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- the right to request the correction of inaccurate personal information we hold about you.
- the right to request the erasure of your personal information in certain limited circumstances.
- the right to restrict processing of your personal information where certain requirements are met.
- the right to object to the processing of your personal information.
- the right to request that we transfer elements of your data either to you or another service provider; and
- the right to object to certain automated decision-making processes using your personal information.

You should note that some of these rights, for example the right to require us to transfer your data to another service provider or the right to object to automated decision making, may not apply as they have specific requirements and exemptions which apply to them, and they may not apply to personal information recorded and stored by us. For example, we do not use automated decision making in relation to your personal data. However, some have no conditions attached, so your right to withdraw consent or object to processing for direct marketing are absolute rights.

We may need to request specific information from you to help us confirm your identity and ensure your right to access the information (or to exercise any of your other rights). This is another appropriate security measure to ensure that personal information is not disclosed to any person who has no right to receive it.

Whilst this privacy notice sets out a general summary of your legal rights in respect of personal information, this is a very complex area of law. More information about your legal rights can be found on the website of the DPA in your jurisdiction, the details of which can be found in “**Client Facing Entities in the Quantuma Group**”.

To exercise any of the above rights, or if you have any questions relating to your rights, please contact us by using the details set out in the “**Contacting us**” section below.

If you are unhappy with the way we are using your personal information you can also lodge a complaint with a DPA, usually in the country or state where you work, normally live or where any alleged infringement of data protection laws has occurred. Details of the DPAs where the Quantuma Group has offices can be found in “**Client Facing Entities in the Quantuma Group**.”

11. LINKS TO OTHER WEBSITES

We sometimes provide you with links to other websites, but these websites are not under our control. We are not liable to you for any issues arising in connection with their use of your information, the website content or the services offered to you by those websites.

We recommend that you check the privacy policy and terms and conditions on each website to see how each third party will process your information.

12. CHANGES TO THIS NOTICE

We may update this privacy notice from time to time. When we change this notice in a material way, we will update the version date at the bottom of this notice. For significant changes to this notice, we will try to give you reasonable notice unless we are prevented from doing so. Where required by law we will seek your consent to changes in the way we use your personal information.

13. CONTACTING US

In the event of any query or complaint in connection with the information we hold about you, please email dataprotection@quantuma.com or you may write to us using the postal addresses in “**Client Facing Entities in the Quantuma Group**.”

14. CLIENT FACING ENTITIES WITHIN THE QUANTUMA GROUP

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	Data Protection Supervisory Authority	Data Protection Regulation
Quantuma Advisory Limited St. Andrew's House, 18-20 St Andrew Street London EC4A 3AG	Information Commissioners Office ico.org.uk	Data Protection Act, 2018 gov.uk/data-protection
Quantuma Advisory (Yorkshire) Limited t/a Michael Chamberlain & Co Limited Resolution House, 12 Mill Hill, Leeds LS1 5DQ	Information Commissioners Office hico.org.uk	Data Protection Act, 2018 gov.uk/data-protection
Quantuma (BVI) Limited Trinity Chambers, PO Box 4301, Road Town, Tortola British Virgin Island	Office of the information Commissioner. bvi.gov.vg/content/confidentiality-and-data-protection	Data Protection Act, 2021 bvi.gov.vg/content/confidentiality-and-data-protection
Quantuma Advisory Limited (Cayman Islands) Suite N404, Flagship Building, 142 Seafarers Way. George Town. Grand Cayman. Cayman Islands	Data Protection Office https://ombudsman.ky/data-protection	Data Protection Act 2021 https://ombudsman.ky/data-protection
Quantuma Advisory Limited (Cyprus) 32 Stasikratous Street 4th Floor 1065 Nicosia Cyprus	Office of the Commissioner for Personal Data Protection P.O. Box. 23378, 1682, Nicosia, Cyprus commissisoner@dataprotection.gov.cy	GDPR gdpr.eu/what-is-gdpr/
Quantuma Advisory Limited (Mauritius) 4 th Floor Eagle House 15 A5 Wall Street Cybercity 72201 Ebene Mauritius	Data Protection Office dpo@govmu.org	Data Protection Act 2017 dataprotection.govmu.org

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<p>Quantuma Middle East Limited</p> <p>Quantuma Middle East Limited, Index Towers, Office 2205, Level 22, East Entrance, Al Mustaqbal Street, Dubai International Financial Center, Dubai, UAE</p>	<p>Commissioner of Data Protection's Office Dubai International Financial Centre Authority Level 14, The Gate Building</p> <p>commissioner@dp.difc.ae</p>	<p>Data Protection Law DIFC Law No. 5 of 2020</p> <p>difc.ae/application/files/5316/4906/5576/Data_Protection_Regulations_2020.pdf</p>
<p>Quantuma Poland sp. z o.o. International Advisory Limited</p> <p>Quantuma Poland sp. z o.o. Business Link Astoria, ul. Przeskok 2, 00-032 Warsaw, Poland KRS: 0000944120, NIP: 5252892615, REGON: 520988658</p>	<p>Personal Data Protection Office ul. Stawki 2 00-193 Warszawa tel. 22 531 03 00</p> <p>kancelaria@uodo.gov.pl</p>	<p>GDPR</p> <p>https://gdpr.eu/what-is-gdpr/</p>
<p>Quantuma (Singapore) Pte. Limited</p> <p>8 Eu Ton Sen Street #18-81 The Central Singapore (059818)</p>	<p>PDPC Singapore</p> <p>pdpc.gov.sg/</p>	<p>Personal Data Protection Act</p> <p>https://www.pdpc.gov.sg/Overview-of-PDPA/The-Legislation</p>